



Mitochondrial DNA haplogroups and somatic mutations are associated with lung cancer in patients from Southwest China

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ABSTRACT. Mitochondrial DNA mutations play crucial roles in the pathogenesis and progression of human malignancies. Therefore, to determine whether maternal background or mitochondrial DNA somatic mutations were essential cofactors in the lung cancer of Chinese patients as well, the complete mitochondrial DNA displacement loop of the primary cancerous, matched para-cancerous normal and distant normal tissues for 79 Chinese patients with lung cancer were analyzed in this study. Our results indicated that the higher detected frequency of haplogroups prevalent in southern East Asia (53.16%; 42/79) versus

those of northern East Asia in the studied population supported the southern East Asian characteristics of the Chinese lung cancer group. Further statistical analysis revealed that the haplogroups F* and G* contributed to the susceptibility to lung cancer in Chinese patients. In addition, by comparing sequences from different tissues of the same patients, a total of eight somatic mutations from six patients were detected. Combined with the fourteen somatic mutations identified in our previous study, the somatic mutation spectrum of the 79 Chinese patients with lung cancer was 25.32% (20/79). Our results suggest that mitochondrial DNA haplogroups and somatic mutations are associated with lung cancer in patients from Yunnan, Southwest China, and that somatic mitochondrial DNA mutations in the displacement loop can serve as potential biomarkers for clinical utility.

Key words: Lung cancer; Mitochondrial DNA; Haplogroup; Somatic mutation

INTRODUCTION

Lung cancer is the leading cause of cancer deaths globally, accounting for 13% of all deaths (Yang Ai et al., 2013) and 30% of all cancer-related deaths (Ferlay et al., 2010; Cao et al., 2011). Lung cancer has also caused approximately 400,000 deaths annually in China (Yang et al., 2009), with late diagnosis and suboptimal therapies being considered the main cause of the low 5-year survival rate in lung cancer.

Mitochondria serve as the crucial intracellular organelle responsible for regulating cellular energy metabolism, producing free radicals, and initiating and executing apoptotic pathways (Wallace, 1995). Much evidence has supported the association between mitochondrial DNA (mtDNA) mutations and various diseases, with somatic mutations in particular having been correlated with various tumors (Wallace, 2005).

Maternally inherited variations in mtDNA have been considered the result of adaptations in the ancestors of modern humans to habitation in cold climatic environments (Mishmar et al., 2003; Ruiz-Pesini et al., 2004). They have also been associated with bioenergetic or mitochondrial dysfunction (Mishmar et al., 2003; Ruiz-Pesini et al., 2004; Wallace, 2005), with previous studies demonstrating associations between mutations of haplogroup N9a and type 2 diabetes in Asians (Fuku et al., 2007) and between haplogroups M7b1'2 and M8 with the expression of Leber hereditary optic neuropathy in Chinese families with the m11778G/A mutation (Ji et al., 2008). mtDNA is highly susceptible to mutation because of its continuous exposure to high levels of reactive oxygen species generated during oxidative phosphorylation (Wallace et al., 1999), leading to a higher mutation rate of mtDNA than of nuclear DNA (Yakes and Van Houten, 1997). Such mutations, which occur in individual cells are not inherited from a parent, and are not passed on to offspring, are called somatic mutations. Furthermore, given the paucity of spacer regions between human mitochondrial genes, a mutation in the mtDNA will most likely involve a functionally important region of the mitochondrial genome. Increasing numbers of studies have revealed that somatic mtDNA mutation may be involved in carcinogenesis and tumor progression. These mutations have been detected with relatively higher frequency by comparison between the primary cancerous, matched para-cancerous

normal, and distant normal tissues from the same patients (Brandon et al., 2006; Chatterjee et al., 2006). To date, a large number of somatic mutations have been detected among various kinds of tumor tissues, such as in breast cancer (Wang et al., 2007; Alhomidi et al., 2013), gastric cancer (Hung et al., 2010), esophageal squamous cell carcinoma (Kumimoto et al., 2004), lung cancer (Jin et al., 2007; Wang and Zhao, 2011; Fang et al., 2013; Yang Ai et al., 2013), and in aging individuals (Williams et al., 2013). Our previous study also revealed elevated somatic mutation rates through the examination of thirty entire mtDNA genomes from ten Chinese patients with lung cancer (Fang et al., 2013), as well as the poly-C repeat stretch (D310) of 79 patients (Chen et al., 2014). Therefore, both germline and somatic mtDNA mutations have been deemed to play crucial roles in tumorigenesis.

Human mtDNA is a circular, double-stranded molecule comprised of approximately 16,569 bp. It contains a displacement loop (D-loop) and 37 genes, coding for 12S and 16S rRNAs, 22 tRNAs, and 13 polypeptides (Anderson et al., 1981; Andrews et al., 1999). The mtDNA D-loop, a 1124-bp long fragment (from position 16,024 to 576) (NC_012920) (Andrews et al., 1999), is a non-coding region, and acts as a promoter for both the heavy and light strands of the mtDNA, containing essential transcription and replication elements. It also contains two hypervariable regions (HVI at position 16,024 to 16,383; and HVII at 57 to 372) (NC_012920) (Andrews et al., 1999), which have consequently been widely used in forensic analyses and medical diagnosis (Sharma et al., 2005; Li et al., 2012). Therefore, we speculated that the variation of the mtDNA D-loop region in Chinese patients with lung cancer would better illustrate the crucial role that mtDNA might play in the mechanism of lung carcinogenesis.

In this study, to determine whether the maternal haplogroups or mtDNA somatic mutations played crucial roles in Chinese patients with lung cancer, both the germ line and somatic mutations of the mtDNA D-loop were identified in 237 samples from 79 Chinese patients with lung cancer; our previously reported D310 mutations were also analyzed.

MATERIAL AND METHODS

Tissue specimens

A total of 237 tissue samples, including the primary lung cancerous tissue, corresponding para-cancerous normal tissue, and distant normal tissue, were collected from 79 patients with lung cancer who received treatment at the First Affiliated Hospital of Kunming Medical University or the Second People's Hospital of Yunnan Province between 2011 and 2012. The primary cancerous and para-cancerous normal tissues were sampled by manual microdissection from hematoxylin and eosin-stained slides. The different tissues from the same individual were marked with suffix "A", "B", or "C", respectively; "A" referred to the para-cancerous normal tissue, "B" referred to the primary lung cancerous tissue, and "C" referred to the distant normal tissue (blood). All procedures were supervised and approved by the human tissue research committee of the First Affiliated Hospital of Kunming Medical University, and informed consents were obtained from all participants.

DNA extraction, polymerase chain reaction (PCR) amplification and sequence analysis

The genomic DNA was extracted using standard phenol/chloroform methodology, and stored at -20°C for future use. The mtDNA D-loop regions (spanning nucleotide posi-

tions 16024-16569/1-576) were amplified and sequenced as fully described in Zhao et al. (2009). Mutations were recorded by comparison with the revised Cambridge reference sequence (rCRS) (Andrews et al., 1999). All subjects were allocated into a specific haplogroup based on their control-region information according to the updated worldwide phylogenetic tree constructed with the entire mtDNA genome (van Oven and Kayser, 2009). Principle component analysis (PCA) was conducted as described previously (Yao et al., 2002a) by taking the haplogroup frequencies of the lung cancer group and of healthy populations as input factors. Statistical analysis was conducted using the SPSS 13.0 software package (SPSS, Chicago, IL, USA), with $P < 0.05$ as a statistically significant difference.

Cloning and sequencing of mtDNA somatic mutations

Cloning and sequencing analysis were performed to verify the authenticity of the somatic mutations detected in the mtDNA D-loop region among Chinese patients with lung cancer. The PCR products of the newly extracted DNA from primary lung cancerous tissues and distant normal tissues in patients with somatic mutations were purified and transferred to the pUC18 vector. The clones were selected and sequenced directly with forward and reverse vector primers, and the sequences were compared with the rCRS (Andrews et al., 1999).

RESULTS

As shown in Table 1, all the lung cancer patients investigated from Southwest China were allocated to haplogroups prevalent in East Asia, Southeast Asia, West Eurasia, or South Asia. The East and Southeast Asian prevalent haplogroups accounted for a significantly higher proportion (96.20%; 76/79) of the patients than did the West Eurasian and South Asian predominant haplogroups (2.53% and 1.27%, respectively). Among the former, the southern East Asian and Southeast Asian prevalent haplogroups, such as B, F, M7, M9, M71, M*, R9, and R11 (Wen et al., 2004a; Kong et al., 2011), accounting for 50.63% (40/79) of the East and Southeast Asian prevalent haplogroups, which was more than the northern East Asian prevalent haplogroups, such as A, C, Z, D, G and N9, which accounted for 44.30% (35/79) of the patients.

To evaluate the association of mtDNA haplogroups with the lung cancer population, the mtDNA variations of 490 healthy individuals from 11 Yunnan ethnic and Han populations were retrieved from the literature (Yao et al., 2002b; Wen et al., 2004a,b, 2005; Zhao et al., 2009). As PCA has been shown to be a powerful tool for detecting true associations in mitochondrial medical genetics (Biffi et al., 2010), we constructed a PCA map by taking the haplogroup frequencies of 79 lung cancer patients and 490 healthy individuals from 11 ethnic and Han groups as input factors. Our results showed that the lung cancer group was clustered with the Naxi, Pumi, and Hani ethnic groups from Yunnan Province. They did not show any separated cluster pattern, as shown in Figure 1, supporting that there was no essential difference between them, and excluding the possibility of population stratification between the lung cancer population and other 11 control groups (including 1 Han and 10 ethnic groups). Further, to confirm whether there was any specific mtDNA haplogroup associated with lung cancer, the statistically significant differences were estimated between the mtDNA haplogroup frequencies of the lung cancer group and healthy populations by pooling the 1 Han Chinese and 10 ethnic groups from different regions of Yunnan as control population for analysis in order to avoid the biasing of results because of small sample size and sampling location.

Table 1. mtDNA mutations in 237 samples from 79 patients with lung cancer from Yunnan, Southwest China.

| Sample | Haplogroups | HVSI (16000+) | HVSII | Readable region |
|--------|-------------|------------------------------------|--|-------------------|
| 1A | M7 | 129 223 297 | 73 150 159 199 263 315+C 489 | 16013-16569/1-554 |
| 1B | M7 | 129 223 297 | 73 150 159 199 263 315+C 489 | 16012-16569/1-549 |
| 1C | M7 | 129 223 297 | 73 150 159 199 263 315+C 489 | 16018-16569/1-590 |
| 2A | D4 | 223 362 | 73 152 195 263 315+C 489 | 16013-16569/1-531 |
| 2B | D4 | 223 362 | 73 152 195 263 315+C 489 | 16013-16569/1-588 |
| 2C | D4 | 223 362 | 73 152 195 263 315+C 489 | 16012-16569/1-587 |
| 3A | D4e | 092 223 362 | 73 94 263 309+C 315+C 489 | 16012-16569/1-542 |
| 3B | D4e | 092 223 362 | 73 94 263 309+C 315+C 489 | 16014-16569/1-588 |
| 3C | D4e | 092 223 362 | 73 94 263 309+C 315+C 489 | 16013-16569/1-588 |
| 4A | F1 | 189 304 519 | 73 146 249d 263 309+C 315+C 522-523d | 16016-16569/1-542 |
| 4B | F1 | 189 304 519 | 73 146 249d 263 309+C 315+C 522-523d | 16012-16569/1-587 |
| 4C | F1 | 189 304 519 | 73 146 249d 263 309+C 315+C 522-523d | 16012-16569/1-549 |
| 5A | F | 260 298 355 362 | 73 249d 263 309+C 315+C 709 | 16016-16569/1-717 |
| 5B | F | 260 298 355 362 | 73 249d 263 309+C 315+C | 16016-16569/1-614 |
| 5C | F | 260 298 355 362 | 73 249d 263 309+C 315+C | 16013-16569/1-499 |
| 6A | D4a | 129 223 362 519 | 73 152 217 263 309+2C 315+C 489 | 16016-16569/1-543 |
| 6B | D4a | 129 223 362 519 | 73 152 217 263 309+2C 315+C 489 | 16013-16569/1-560 |
| 6C | D4a | 129 223 362 519 | 73 152 217 263 309+2C 315+C 489 | 16012-16569/1-554 |
| 7A | B4c | 147 182+C 189 217 235 519 | 73 146 263 309+2C 315+C | 16014-16569/1-581 |
| 7B | B4c | 147 182+C 189 217 235 519 | 73 146 263 309+2C 315+C | 16017-16569/1-562 |
| 7C | B4c | 147 182+C 189 217 235 519 | 73 146 263 309+2C 315+C | 16017-16569/1-563 |
| 8A | Fla1a | 129 162 172 304 311 519 | 73 152 249d 263 315+C 477 522-523d | 16011-16569/1-598 |
| 8B | Fla1a | 129 162 172 304 311 519 | 73 152 249d 263 315+C 477 522-523d | 16012-16569/1-588 |
| 8C | Fla1a | 129 162 172 304 311 519 | 73 152 249d 263 315+C 477 522-523d | 16013-16569/1-587 |
| 9A | D | 172 223 362 | 73 263 309+C 315+C 489 | 16012-16569/1-585 |
| 9B | D | 172 223 362 | 73 263 309+C 315+C 489 | 16009-16569/1-588 |
| 9C | D | 172 223 362 | 73 263 309+C 315+C 489 | 16012-16569/1-580 |
| 10A | R9b | 192 304 309 390 519 | 73 152 263 309+C 315+C | 16015-16569/1-553 |
| 10B | R9b | 192 304 309 390 519 | 73 152 263 309+C 315+C | 16012-16569/1-555 |
| 10C | R9b | 192 304 309 390 519 | 73 152 263 309+C 315+C | 16018-16569/1-552 |
| 11A | B4a | 181C 182C 183C 189 213 217 261 519 | 61A 62 73 263 315+C 522-523d | 16012-16569/1-572 |
| 11B | B4a | 181C 182C 183C 189 213 217 261 519 | 61A 62 73 263 315+C 522-523d | 16013-16569/1-590 |
| 11C | B4a | 181C 182C 183C 189 213 217 261 519 | 61A 62 73 263 315+C 522-523d | 16013-16569/1-589 |
| 12A | M* | 129 223 287 311 327A | 64 73 93 189 200 263 309+2C 315+C 485 489 | 16012-16569/1-588 |
| 12B | M* | 129 223 287 311 327A | 64 73 93 189 200 263 309+2C 315+C 485 489 | 16013-16569/1-555 |
| 12C | M* | 129 223 287 311 327A | 64 73 93 189 200 263 309+2C 315+C 485 489 | 16014-16569/1-553 |
| 13A | N9a | 129 162 223 250 257A 261 | 73 150 263 309+C 315+C | 16018-16569/1-555 |
| 13B | N9a | 129 162 223 250 257A 261 | 73 150 263 309+C 315+C | 16013-16569/1-553 |
| 13C | N9a | 129 162 223 250 257A 261 | 73 150 263 309+C 315+C | 16012-16569/1-450 |
| 14A | D5 | 183C 189 362 519 | 73 150 152 263 309+C 315+C 456 489 | 16014-16569/1-552 |
| 14B | D5 | 183C 189 362 519 | 73 150 152 263 309+C 315+C 456 489 | 16015-16569/1-554 |
| 14C | D5 | 183C 189 362 519 | 73 150 152 263 309+C 315+C 456 489 | 16015-16569/1-550 |
| 15A | D5 | 092 148 183C 189 362 519 | 73 150 152 185 263 315+C 456 489 522-523d | 16013-16569/1-552 |
| 15B | D5 | 092 148 183C 189 362 519 | 73 150 152 185 263 315+C 456 489 522-523d | 16013-16569/1-555 |
| 15C | D5 | 092 148 183C 189 362 519 | 73 150 152 185 263 315+C 456 489 522-523d | 16013-16569/1-550 |
| 16A | F1 | 129 172 304 519 | 73 249d 263 309+2C 315+C 466 520-524d | 16007-16569/1-586 |
| 16B | F1 | 129 172 304 519 | 73 249d 263 309+2C 315+C 466 520-524d | 16012-16569/1-586 |
| 16C | F1 | 129 172 304 519 | 73 249d 263 309+2C 315+C 466 520-524d | 16016-16569/1-543 |
| 17A | M7 | 129 192 223 297 301G 391 519 | 73 150 199 263 309+C 315+C 489 | 16017-16569/1-572 |
| 17B | M7 | 129 192 223 297 301G 391 519 | 73 150 199 263 309+C 315+C 489 | 16016-16569/1-544 |
| 17C | M7 | 129 192 223 297 301G 391 519 | 73 150 199 263 309+C 315+C 489 | 16020-61569/1-573 |
| 18A | A | 223 274 290 319 362 519 527 | 73 152 235 263 315+C 456 522-523d | 16015-16569/1-591 |
| 18B | A | 223 274 290 319 362 519 527 | 73 152 235 263 315+C 456 522-523d | 16009-16569/1-549 |
| 18C | A | 223 274 290 319 362 519 527 | 73 152 235 263 315+C 456 522-523d 663 750 | 16012-16569/1-778 |
| 19A | Fla | 129 162 172 274 304 519 | 73 249d 263 315+C 522-523d 548 | 16016-16569/1-555 |
| 19B | Fla | 129 162 172 274 304 519 | 73 249d 263 315+C 522-523d 548 | 16012-16569/1-620 |
| 19C | Fla | 129 162 172 274 304 519 | 73 249d 263 315+C 522-523d 548 | 16012-16569/1-550 |
| 20A | A | 223 235 290 311 319 362 519 | 73 152 234 235 263 309+2C 315+C 522-523d | 16007-16569/1-555 |
| 20B | A | 223 235 290 311 319 362 519 | 73 152 234 235 263 309+2C 315+C 522-523d 663 | 16017-16569/1-667 |
| 20C | A | 223 235 290 311 319 362 519 | 73 152 234 235 263 309+2C 315+C 522-523d | 16017-16569/1-575 |
| 21A | B4 | 182C 183C 189 217 223 519 | 73 146 185 189 195 263 309+2C 315+C | 16011-16569/1-322 |
| 21B | B4 | 182C 183C 189 217 223 519 | 73 146 185 189 195 263 309+2C 315+C 513 | 16016-16569/1-555 |

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Table 1. Continued.

| Sample | Haplogroups | HVSI (16000+) | HVSII | Readable region |
|--------|-------------|---|--|-------------------|
| 21C | B4 | 182C 183C 189 217 223 519 | 73 146 185 189 195 263 309+2C 315+C | 16008-16569/1-323 |
| 22A | M71 | 223 269 271 311 | 73 150 151 263 309+2C 315+C 489 | 16013-16569/1-544 |
| 22B | M71 | 223 269 271 311 | 73 150 151 263 309+C 315+C 489 | 16012-16569/1-588 |
| 22C | M71 | 223 269 271 311 | 73 150 151 263 309+2C 315+C 489 | 16010-16569/1-563 |
| 23A | F | 304 | 73 249d 263 309+C 315+C | 16013-16569/1-563 |
| 23B | F | 304 | 73 249d 263 309+C 315+C | 16013-16569/1-546 |
| 23C | F | 304 | 73 249d 263 309+C 315+C | 16009-16569/1-570 |
| 24A | C | 223 298 327 362 519 | 73 249d 263 309+C 315+C 489 | 16010-16569/1-554 |
| 24B | C | 223 298 327 362 519 | 73 249d 263 309+C 315+C 489 | 16009-16569/1-392 |
| 24C | C | 223 298 327 362 519 | 73 249d 263 309+C 315+C 489 | 16008-16569/1-565 |
| 25A | H | 519 | 263 309+C 315+C | 16012-16569/1-554 |
| 25B | H | 519 | 263 309+2C 315+C | 16012-16569/1-566 |
| 25C | H | 519 | 263 309+C 315+C | 16012-16569/1-542 |
| 26A | A | 223 290 319 | 73 152 235 263 309+2C 315+C 522-523d | 16013-16569/1-549 |
| 26B | A | 223 290 319 | 73 152 235 263 309+2C 315+C | 16011-16569/1-506 |
| 26C | A | 223 290 319 | 73 152 235 263 309+2C 315+C 522-523d | 16012-16569/1-553 |
| 27A | Fla | 108 129 162 172 304 519 | 73 249d 263 315+C 522-523d | 16008-16569/1-588 |
| 27B | Fla | 108 129 162 172 304 519 | 73 249d 263 315+C 522-523d | 16012-16569/1-554 |
| 27C | Fla | 108 129 162 172 304 519 | 73 249d 263 315+C 522-523d | 16012-16569/1-555 |
| 28A | U | 051 126 178 179 234 247 | 73 146 152 263 315+C 522-523d | 16009-16569/1-543 |
| 28B | U | 051 126 178 179 234 247 | 73 146 152 263 315+C 522-523d | 16013-16569/1-556 |
| 28C | U | 051 126 178 179 234 247 | 73 146 152 263 315+C 522-523d | 16014-16569/1-547 |
| 29A | B4a | 093 182C 183C 189 217 261 519 | 73 146 204 263 309+C 315+C | 16007-16569/1-316 |
| 29B | B4a | 093 182C 183C 189 217 261 519 | 73 146 204 263 309+2C 315+C 522-523d 709 | 16013-16569/1-743 |
| 29C | B4a | 093 182C 183C 189 217 261 519 | 73 146 204 263 309+C 315+C | 16013-16569/1-319 |
| 30A | G | 184 223 290 362 519 | 73 143 263 315+C 489 | 16014-16569/1-584 |
| 30B | G | 184 223 290 362 519 | 73 143 263 309+C 315+C 489 | 16015-16569/1-590 |
| 30C | G | 184 223 290 362 519 | 73 143 263 315+C 489 | 16132-16569/1-573 |
| 31A | Fla | 129 172 304 519 | 73 249d 263 309+2C 315+C 522-523d | 16014-16569/1-551 |
| 31B | Fla | 129 172 304 519 | 73 249d 263 309+2C 315+C 522-523d | 16018-16569/1-584 |
| 31C | Fla | 129 172 304 519 | 73 249d 263 309+2C 315+C | 16013-16569/1-365 |
| 32A | R11 | 111 172 183C 189 223 362 519 | 73 185 189 195 234 263 309+C 315+C 522-523d | 16017-16569/1-550 |
| 32B | R11 | 111 172 183C 189 223 362 519 | 73 185 189 195 234 263 309+C 315+C 522-523d | 16016-16569/1-543 |
| 32C | R11 | 111 172 183C 189 223 362 519 | 73 185 189 195 234 263 309+3C 315+C | 16012-16569/1-491 |
| 33A | A | 223 290 319 362 | 73 151 152 200 235 263 309+C 315+C 522-523d | 16012-16569/1-554 |
| 33B | A | 223 290 319 362 | 73 151 152 200 235 263 309+C 315+C 522-523d | 16013-16569/1-600 |
| 33C | A | 223 290 319 362 | 73 151 152 200 235 263 309+C 315+C 522-523d | 16013-16569/1-588 |
| 34A | D4 | 124 223 362 519 | 73 194 263 309+C 315+C 489 522-523d | 16016-16569/1-573 |
| 34B | D4 | 124 223 362 519 | 73 194 263 309+C 315+C | 16021-16569/1-414 |
| 34C | D4 | 124 223 362 519 | 73 194 263 309+C 315+C 489 522-523d | 16021-16569/1-572 |
| 35A | A | 223 290 319 362 | 73 151 152 200 235 263 315+C 522-523d | 16012-16569/1-599 |
| 35B | A | 223 290 319 362 | 73 151 152 200 235 263 315+C 522-523d | 16015-16569/1-544 |
| 35C | A | 223 290 319 362 | 73 151 152 200 235 263 315+C 522-523d | 16013-16569/1-587 |
| 36A | B4 | 182C 183C 189 217 261 357 519 | 73 263 309+C 315+C | 16013-16569/1-438 |
| 36B | B4 | 182C 183C 189 217 261 357 519 | 73 263 309+C 315+C 522-523d | 16014-16569/1-586 |
| 36C | B4 | 182C 183C 189 217 261 357 519 | 73 263 309+C 315+C 522-523d | 16017-16569/1-587 |
| 37A | D | 164 172 182C 183C 189 223 266 362 | 73 150 263 309+C 315+C 489 522-523d | 16017-16569/1-588 |
| 37B | D | 164 172 182C 183C 189 223 266 362 | 73 150 263 309+C 315+C 489 522-523d | 16013-16569/1-587 |
| 37C | D | 164 172 182C 183C 189 223 266 362 | 73 150 263 309+C 315+C 489 | 16009-16569/1-520 |
| 38A | N9a | 129 223 257A 261 519 | 73 150 263 309+C 315+C | 16012-16569/1-588 |
| 38A | N9a | 129 223 257A 261 519 | 73 150 263 309+C 315+C | 16010-16569/1-584 |
| 38C | N9a | 129 223 257A 261 519 | 73 150 263 309+C 315+C | 16013-16569/1-582 |
| 39A | Z | 093h 185 223 260 271 298 311 390 | 73 152 249d 263 315+C 319 489 | 16014-16569/1-588 |
| 39B | Z | 093h 185 223 260 271 298 311 390 | 73 152 249d 263 315+C 319 489 | 16014-16569/1-587 |
| 39C | Z | 093 185 223 260 271 298 311 390 | 73 152 249d 263 315+C 319 489 | 16014-16569/1-578 |
| 40A | A | 086 223 290 319 362 | 73 152 235 263 309+2C 315+C 522-523d 538 | 16012-16569/1-553 |
| 40B | A | 086 223 290 319 362 | 73 152 235 263 309+C 315+C 522-523d 538 | 16016-16569/1-555 |
| 40C | A | 086 223 290 319 362 | 73 152 235 263 309+2C 315+C 522-523d 538 | 16010-16569/1-585 |
| 41A | C | 223 298 327 519 | 73 249d 263 309+C 315+C 489 | 16013-16569/1-539 |
| 41B | C | 223 298 327 519 | 73 249d 263 309+C 315+C 489 | 16012-16569/1-562 |
| 41C | C | 223 298 327 519 | 73 249d 263 309+C 315+C 489 | 16000-16569/1-573 |
| 42A | D/G | 223 311 362 519 | 73 150 194 263 315+C 489 522-523d | 16012-16569/1-543 |

Continued on next page

Table 1. Continued.

| Sample | Haplogroups | HVSI (16000+) | HVSII | Readable region |
|--------|-------------|---|--|-------------------|
| 42B | D/G | 223 311 362 519 | 73 150 194 263 315+C 489 522-523d | 16013-16569/1-581 |
| 42C | D/G | 223 311 362 519 | 73 150 194 263 315+C 489 522-523d | 16013-16569/1-588 |
| 43A | M7b | 129 223 297 | 73 150 159 199 263 315+C 489 | 16014-16569/1-559 |
| 43B | M7b | 129 223 297 | 73 150 159 199 263 315+C 489 | 16017-16569/1-587 |
| 43C | M7b | 129 223 297 | 73 150 159 199 263 315+C 489 | 16014-16569/1-550 |
| 44A | B5a | 140 183C 189 266A 519 | 73 210 263 309+2C 315+C 522-523d | 16014-16569/1-554 |
| 44B | B5a | 140 183C 189 266A 519 | 73 210 263 309+C 310d 315+C 522-523d | 16012-16569/1-587 |
| 44C | B5a | 140 183C 189 266A 519 | 73 210 263 309+2C 315+3C | 16018-16569/1-316 |
| 45A | F1a | 129 172 304 311 519 | 73 249d 263 315+C 522-523d | 16012-16569/1-585 |
| 45B | F1a | 129 172 304 311 519 | 73 249d 263 315+C 522-523d | 16012-16569/1-587 |
| 45C | F1a | 129 172 304 311 519 | 73 249d 263 315+C 522-523d | 16013-16569/1-586 |
| 46A | B6 | 093 179 182C 183C 189 342 | 73 150 263 309+5C 522-523d | 16016-16569/1-602 |
| 46B | B6 | 093 179 182C 183C 189 342 | 73 150 263 309+2C 522-523d | 16016-16569/1-531 |
| 46C | B6 | 093 179 182C 183C 189 342 | 73 150 263 309+5C 522-523d | 16013-16569/1-542 |
| 47A | M7b | 129 192 223 297 | 73 150 199 263 309+2C 315+C 489 522-523d | 16012-16569/1-591 |
| 47B | M7b | 129 192 223 297 | 73 150 199 263 309+2C 315+C | 16021-16569/1-329 |
| 47C | M7b | 129 192 223 297 | 73 150 199 263 309+2C 315+C 489 | 16021-16569/1-554 |
| 48A | A | 223 278 290 319 519 | 73 151 152 235 263 309+C 315+C 522-523d | 16013-16569/1-587 |
| 48B | A | 223 278 290 319 519 | 73 151 152 235 263 309+C 315+C 522-523d | 16013-16569/1-575 |
| 48C | A | 223 278 290 319 519 | 73 151 152 235 263 309+C 315+C 522-523d | 16013-16569/1-583 |
| 49A | B5a | 140 183C 189 262 266A 519 | 73 210 263 315+C 522-523d | 16017-16569/1-585 |
| 49B | B5a | 140 183C 189 262 266A 519 | 73 210 263 315+C 522-523d | 16013-16569/1-588 |
| 49C | B5a | 140 183C 189 262 266A 519 | 73 210 263 315+C 522-523d | 16009-16569/1-586 |
| 50A | F1b | 183C 189 323A 249 304 311 | 73 249d 263 315+C 522-523d | 16018-16569/1-586 |
| 50B | F1b | 183C 189 323A 249 304 311 | 73 249d 263 315+C 522-523d | 16013-16569/1-579 |
| 50C | F1b | 183C 189 323A 249 304 311 | 73 249d 263 315+C 522-523d | 16013-16569/1-573 |
| 51A | D | 174 223 311 320 362 | 73 152 263 309+C 315+C 489 | 16012-16569/1-586 |
| 51B | D | 174 223 293 311 320 362 | 73 152 178 263 309+C 315+C 489 | 16009-16569/1-586 |
| 51C | D | 174 223 311 320 362 | 73 152 263 309+C 315+C 489 | 16013-16569/1-587 |
| 52A | F | 092A 093 234 291 304 | 73 249d 263 315+C 522-523d | 16013-16569/1-586 |
| 52B | F | 092A 093 234 291 304 | 73 249d 263 315+C 522-523d | 16014-16569/1-546 |
| 52C | F | 092A 093 234 291 304 | 73 249d 263 315+C 522-523d | 16013-16569/1-546 |
| 53A | D4 | 129 223 278 362 | 73 263 315+C 489 | 16013-16569/1-543 |
| 53B | D4 | 129 223 278 362 | 73 263 315+C 489 | 16013-16569/1-558 |
| 53C | D4 | 129 223 278 362 | 73 263 315+C 489 | 16013-16569/1-554 |
| 54A | F1b'd | 185 189 266G 291 304 519 | 73 249d 263 315+C | 16017-16569/1-585 |
| 54B | F1b'd | 185 189 266G 291 304 519 | 73 249d 263 315+C | 16013-16569/1-384 |
| 54C | F1b'd | 185 189 266G 291 304 519 | 73 249d 263 315+C | 16005-16569/1-588 |
| 55A | F1a | 108 129 162 172 189 304 519 | 73 195 245 249d 263 315+C 522-523d | 16013-16569/1-587 |
| 55B | F1a | 108 129 162 172 189 304 519 | 73 195 245 249d 263 315+C 522-523d 750 | 16013-16569/1-758 |
| 55C | F1a | 108 129 162 172 189 304 519 | 73 195 245 249d 263 315+C 522-523d | 16014-16569/1-543 |
| 56A | F2 | 093 203 231 291 295 304 519 | 73 195 249d 263 309+C 315+C | 16012-16569/1-554 |
| 56B | F2 | 093 203 231 291 304 519 | 73 195 249d 263 309+C 315+C | 16015-16569/1-591 |
| 56C | F2 | 093 203 231 291 295 304 519 | 73 195 249d 263 309+C 315+C | 16000-16569/1-620 |
| 57A | A | 223 260 290 319 519 | 64 73 146 195 235 263 309+C 315+C 522-523d | 16021-16569/1-533 |
| 57B | A | 223 260 290 319 519 | 64 73 146 195 235 263 309+ C 315+C 522-523d 663 750 | 16014-16569/1-777 |
| 57C | A | 223 260 290 319 519 | 64 73 146 195 235 263 309+2C 315+C 522-523d | 16017-16569/1-541 |
| 58A | B5a | 093 140 183C 189 266A 519 | 73 146 198 210 263 309+2C 315+C 522-523d | 16016-16569/1-543 |
| 58B | B5a | 093 140 183C 189 266A 519 | 73 146 198 210 263 309+2C 315+C 522-523d | 16012-16569/1-542 |
| 58C | B5a | 093 140 183C 189 266A 519 | 73 146 198 210 263 309+2C 315+C 522-523d | 16013-16569/1-555 |
| 59A | M9a1a | 223 234 248 265C 316 362 | 73 153 263 309+C 315+C 489 | 16014-16569/1-573 |
| 59B | M9a1a | 223 234 248 265C 316 362 | 73 153 263 309+C 315+C 489 | 16009-16569/1-543 |
| 59C | M9a1a | 223 234 248 265C 316 362 | 73 153 263 309+C 315+C 489 | 16011-16569/1-543 |
| 60A | C | 223 290 298 327 519 | 73 146 152 249d 263 315+C 489 | 16013-16569/1-584 |
| 60B | C | 223 290 298 327 519 | 73 146 152 249d 263 315+C 489 | 16014-16569/1-584 |
| 60C | C | 223 290 298 327 519 | 73 146 152 249d 263 315+C 489 | 16013-16569/1-585 |
| 61A | N9a | 189 223 257A 261 311 | 73 150 263 309+2C 315+C | 16016-16569/1-579 |
| 61B | N9a | 189 223 257A 261 311 | 73 150 263 309+2C 315+C | 16015-16569/1-582 |
| 61C | N9a | 189 223 257A 261 311 | 73 150 263 309+2C 315+C | 16014-16569/1-572 |
| 62A | B5b2 | 093 111 140 182C 183C 189 234 243 463 519 | 73 103 131 146 199 204 263 309+3C 315+C | 16017-16569/1-323 |
| 62B | B5b2 | 093 111 140 182C 183C 189 234 243 463 519 | 73 103 131 146 199 204 263 309+2C 315+C | 16012-16569/1-317 |

Continued on next page

Table 1. Continued.

| Sample | Haplogroups | HVSI (16000+) | HVSII | Readable region |
|--------|-------------|---|--|-------------------|
| 62C | B5b2 | 093 111 140 182C 183C 189 234 243 463 519 | 73 103 131 146 199 204 263 309+3C 315+C | 16011-16569/1-322 |
| 63A | B4a | 182C 183C 186 189 217 261 360 519 | 73 263 315+C 522-523d | 16013-16569/1-583 |
| 63B | B4a | 182C 183C 186 189 217 261 360 519 | 73 263 315+C 522-523d | 16009-16569/1-565 |
| 63C | B4a | 182C 183C 186 189 217 261 360 519 | 73 263 315+C 522-523d | 16009-16569/1-580 |
| 64A | D4 | 129 223 278 362 | 73 263 315+C 489 | 16013-16569/1-580 |
| 64B | D4 | 129 223 278 362 | 73 263 315+C 489 | 16011-16569/1-585 |
| 64C | D4 | 129 223 278 362 | 73 263 315+C 489 | 16011-16569/1-565 |
| 65A | D4b | 287 319 362 390 | 73 263 315+C 420h 431 489 522-523d | 16007-16569/1-587 |
| 65B | D4b | 287 319 362 390 | 73 263 315+C 420 431 489 522-523d | 16016-16569/1-585 |
| 65C | D4b | 287 319 362 390 | 73 263 315+C 420h 431 489 522-523d | 16013-16569/1-584 |
| 66A | B4 | 182C 183C 189 217 240 261 | 73 263 309+C 315+2C 522-523d | 16013-16569/1-554 |
| 66B | B4 | 182C 183C 189 217 240 261 | 73 263 309+2C 315+C 522-523d | 16017-16569/1-553 |
| 66C | B4 | 182C 183C 189 217 240 261 | 73 263 309+2C 315+C 522-523d | 16016-16569/1-543 |
| 67A | Z | 172 185 189 223 260 298 362 519 | 73 151 152 249d 263 315+C 489 | 16014-16569/1-587 |
| 67B | Z | 172 185 223 260 298 519 | 73 151 152 249d 263 315+C 489 | 16013-16569/1-583 |
| 67C | Z | 172 185 189 223 260 298 362 519 | 73 151 152 249d 263 315+C 489 | 16013-16569/1-585 |
| 68A | D4 | 223 256 311 362 519 | 73 200 263 309+C 315+C 489 522-523d | 16021-16569/1-577 |
| 68B | D4 | 223 256 311 362 519 | 73 200 263 309+C 315+C 489 522-523d | 16013-16569/1-584 |
| 68C | D4 | 223 256 311 362 519 | 73 200 263 309+C 315+C 489 522-523d | 16013-16569/1-585 |
| 69A | F2 | 124 167 203 304 318 519 | 73 249d 263 315+C | 16013-16569/1-560 |
| 69B | F2 | 124 167 203 304 318 519 | 73 249d 263 315+C | 16016-16569/1-585 |
| 69C | F2 | 124 167 203 304 318 519 | 73 249d 263 315+C | 16009-16569/1-573 |
| 70A | B5a | 140 187 189 256 266G 519 | 73 93 210 263 315+C 522-523d | 16009-16569/1-585 |
| 70B | B5a | 140 187 189 256 266G 519 | 73 93 210 263 315+C 522-523d | 16013-16569/1-585 |
| 70C | B5a | 140 187 189 256 266G 519 | 73 93 210 263 315+C 522-523d | 16008-16569/1-571 |
| 71A | D4 | 129 223 278 362 | 73 263 315+2C 489 523+CA | 16013-16569/1-585 |
| 71B | D4 | 129 223 278 362 | 73 263 315+2C 489 523+CA | 16012-16569/1-585 |
| 71C | D4 | 129 223 278 362 | 73 263 315+2C 489 523+CA | 16013-16569/1-562 |
| 72A | B4a | 182C 183C 189 217 261 | 73 200 263 309+2C 522-523d | 16016-16569/1-543 |
| 72B | B4a | 182C 183C 189 217 261 | 73 200 263 309+CCCCA 522-523d | 16016-16569/1-543 |
| 72C | B4a | 182C 183C 189 217 261 | 73 200 263 309+2C 522-523d | 16008-16569/1-554 |
| 73A | Z | 185 223 260 297 298 | 73 152 189 207 249d 263 309+2C 315+C 489 | 16006-16569/1-567 |
| 73B | Z | 185 223 260 297 298 | 73 152 189 207 249d 263 309+2C 315+C 489 | 16012-16569/1-543 |
| 73C | Z | 185 223 260 297 298 | 73 152 189 207 249d 263 309+2C 315+C 489 | 16012-16569/1-520 |
| 74A | M31 | 093 136 223 | 73 146 152 263 315+C 489 | 16010-16569/1-567 |
| 74B | M31 | 093 136 223 | 73 146 152 263 315+C 489 | 16006-16569/1-569 |
| 74C | M31 | 093 136 223 | 73 146 152 263 315+C 489 | 16024-16569/1-588 |
| 75A | B5b2 | 093 182C 183C 189 217 243 261 519 | 73 146 204 263 309+C 315+C 522-523d | 16014-16569/1-587 |
| 75B | B5b2 | 093 182C 183C 189 217 243 261 519 | 73 146 204 263 309+C 315+C 522-523d | 16013-16569/1-543 |
| 75C | B5b2 | 093 182C 183C 189 217 243 261 519 | 73 146 204 263 309+2C 315+C | 16012-16569/1-316 |
| 76A | D5 | 189 223 362 519 | 73 150 263 309+2C 315+C 456 489 523+CA | 16017-16569/1-543 |
| 76B | D5 | 189 223 362 519 | 73 150 263 309+2C 315+C 456 489 523+CA | 16012-16569/1-543 |
| 76C | D5 | 189 223 362 519 | 73 150 263 309+2C 315+C | 16010-16569/1-316 |
| 77A | B4a | 093 182C 183C 189 217 261 519 | 73 146 263 309+C 315+C | 16012-16569/1-318 |
| 77B | B4a | 093 182C 183C 189 217 261 519 | 73 146 263 309+C 315+C 522-523d | 16012-16569/1-554 |
| 77C | B4a | 093 182C 183C 189 217 261 519 | 73 146 263 309+C 315+C 522-523d | 16012-16569/1-550 |
| 78A | R9 | 304 362 519 | 73 263 315+C | 16007-16569/1-590 |
| 78B | R9 | 304 362 519 | 73 263 315+C | 16007-16569/1-587 |
| 78C | R9 | 304 362 519 | 73 263 315+C | 16015-16569/1-368 |
| 79A | B4a | 140 183C 189 266A 311 519 | 73 210 263 315+C 522-523d | 16008-16569/1-558 |
| 79B | B4a | 140 183C 189 266A 311 519 | 73 210 263 315+C 522-523d | 16010-16569/1-550 |
| 79C | B4a | 140 183C 189 266A 311 519 | 73 210 263 315+C 522-523d | 16009-16569/1-542 |

As shown in Table 2, the frequencies of mtDNA haplogroups F* and G* showed significant differences between the lung cancer and control group, which indicated the possible associations between mtDNA haplogroups F* and G* and lung cancer groups from Yunnan, Southwest of China (at the level of $P < 0.05$).

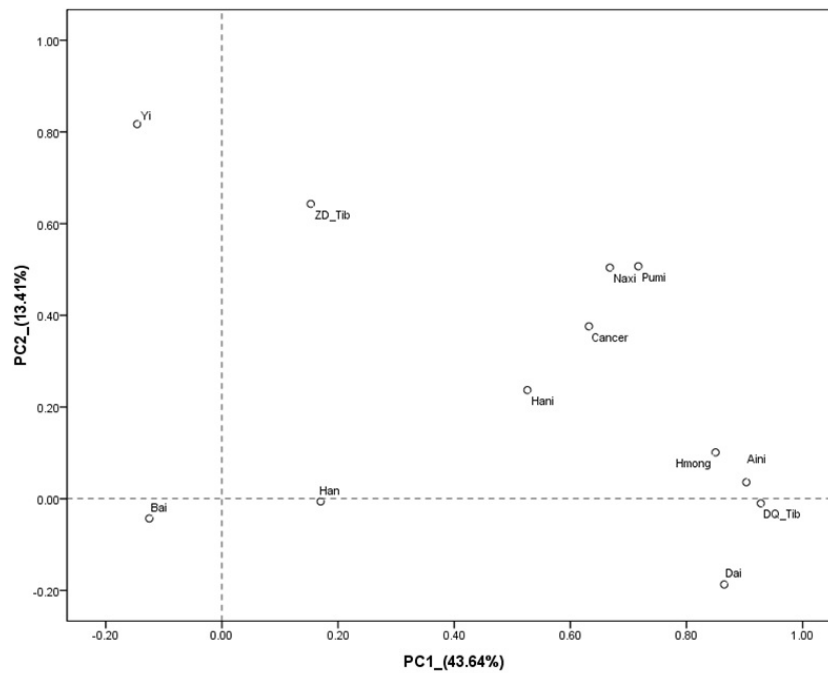


Figure 1. Principle component analysis (PCA) of the populations under study. ZD_Tib = Tibetan group from Zhongdian region of Yunnan Province; DQ_Tib = Tibetan group from Diqing region of Yunnan Province.

Table 2. Haplogroup frequent and Pearson chi-square test results in patients with lung cancer and controls from Yunnan, Southwest China.

| Haplogroups | Lung cancer (N) | Control (N) | χ^2 | P value | OR | 95%CI |
|-------------|-----------------|-------------|----------|---------|-------|--------------|
| A | 8 | 34 | 1.011 | 0.315 | 1.151 | 0.672-3.396 |
| B | 1 | 5 | 0.039 | 0.594 | 1.244 | 0.143-10.787 |
| B4 | 10 | 47 | 0.71 | 0.4 | 1.366 | 0.660-2.829 |
| B5 | 6 | 27 | 0.541 | 0.439 | 1.409 | 0.563-3.351 |
| C | 3 | 27 | 0.4 | 0.786 | 0.677 | 0.200-2.286 |
| D | 4 | 33 | 0.313 | 0.576 | 0.739 | 0.254-2.145 |
| D4 | 9 | 49 | 0.144 | 0.704 | 1.157 | 0.544-2.460 |
| D5 | 3 | 19 | 0.001 | 1 | 0.979 | 0.283-3.387 |
| F | 3 | 3 | 6.651 | 0.038 | 6.408 | 1.270-32.330 |
| F1 | 10 | 51 | 0.36 | 0.549 | 1.248 | 0.605-2.573 |
| F2 | 2 | 12 | 0.002 | 1 | 1.035 | 0.227-4.712 |
| G | 1 | 37 | 4.312 | 0.038 | 0.157 | 0.021-1.161 |
| M | 3 | 14 | 0.208 | 0.718 | 1.342 | 0.377-40478 |
| M7 | 4 | 35 | 0.461 | 0.497 | 0.693 | 0.240-2.007 |
| M9 | 1 | 18 | 1.222 | 0.496 | 0.336 | 0.044-2.554 |
| N9 | 3 | 4 | 4.976 | 0.06 | 4.976 | 1.053-21.849 |
| R | 2 | 2 | 4.395 | 0.095 | 6.338 | 0.880-45.657 |
| R11 | 1 | 5 | 0.039 | 0.054 | 1.244 | 0.143-10.787 |
| R9 | 2 | 7 | 0.532 | 0.362 | 1.792 | 0.366-8.786 |
| Z | 3 | 6 | 2.893 | 0.116 | 3.184 | 0.780-13.001 |

*P value was calculated by the Pearson chi-square test at the level of $P < 0.05$; the Fisher exact test was used when haplogroups were expected to contain fewer than five individuals.

Furthermore, as shown in our previous study, a large number of the identified somatic mutations among the patients with lung cancer from Yunnan, Southwest China, were detected by sequencing the entire mtDNA genome (Fang et al., 2013) and the mtDNA D310 region (Chen et al., 2014). In view of the relatively higher somatic mutation rate in the whole mtDNA D-loop (Yu 2012), and the relatively small sample sizes (Fang et al., 2013) and limited information obtained from our previous studies, which only analyzed the sequence variations within the mtDNA D310 region (Chen et al., 2014), we therefore sequenced and analyzed the complete mtDNA control region (except for the polymorphisms at D310) of 79 patients with lung cancer from Yunnan, Southwest China. Somatic mutations were detected by comparison of the sequences between tumor, matched normal tissues, and blood from the same patients, with the aim to provide more information toward better understanding the potential role of a given somatic mutation in tumorigenesis. As listed in Table 1, a total of eight somatic mutations were detected among six patients with lung cancer, including mutations at positions 16,093, 16,189, 16,362, 16,293, and 16,295 at HVSI, and mutations 178, 294 and 420 at HVSII/III. As shown in Figure 2, the authenticity of the eight somatic mutations was verified using the strategy described in our previous study (Fang et al., 2013; Chen et al., 2014). Incorporating the polymorphisms at D310 as reported in our previous study (Chen et al., 2014), a total of 20 individuals with somatic mutations were detected among 79 patients with lung cancer from Yunnan, Southwest China, which accounted for 24.05% (19/79) of the patients with lung cancer examined. By further analyzing these somatic mutations, we found that heterogeneity was the predominant characteristic of somatic mutations, in line with our previous results (Fang et al., 2013; Chen et al., 2014).

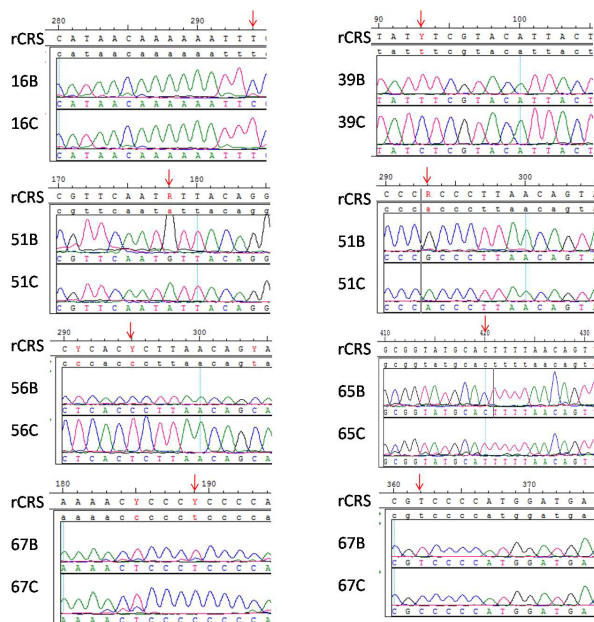


Figure 2. Somatic mtDNA mutations detected at the mtDNA control region in 79 Chinese patients with lung cancer. Sequencing results of the lung cancer tissue and distant normal tissue from the same patient are shown; sites of mutation are marked with red arrows. Mutations were identified by comparison with the revised Cambridge reference sequence (rCRS). B: mtDNA sequence from the primary tumor sample; C: mtDNA sequence from peripheral blood from the same patient.

DISCUSSION

By extensively analyzing the mtDNA variations in 237 samples from 79 patients with lung cancer from Yunnan, we found that the frequency of East Asian and Southeast Asian prevalent haplogroups (50.63%, 40/79) was higher than that of northern East Asian prevalent haplogroups (44.30%, 35/79) of the patients. These haplogroup distributions were in line with those of healthy control groups from Yunnan Province (Yao et al., 2002b; Wen et al., 2004b), which may be a result of the admixture of autochthonous components from both northern and southern populations.

A total of 20 somatic mutations were detected among 19 of 79 patients with lung cancer from Yunnan, which implied the mtDNA somatic mutations confer genetic susceptibility to lung cancer in patients from Yunnan, Southwest China, and heterogeneity was the major characteristic of the 20 somatic mutations. The mtDNA D-loop is important for regulation of mitochondrial genome replication and expression. Therefore, the relatively elevated somatic mutation rates in the patients with lung cancer from Yunnan Province, Southwest China, suggested that the somatic mutations in these patients might affect the crucial mitochondrial function in the electron transport chain, which might in turn cause a high release of reactive oxygen species and concomitant nuclear genome damage as well as cancer initiation and promotion (Shigenaga et al., 1994; Li et al., 2012; Yu, 2012).

In summary, to test whether maternal background or mtDNA somatic mutations played a crucial role in Chinese patients with lung cancer, both the germline and somatic mutations of the mtDNA D-loop region were analyzed in 237 samples from 79 Chinese patients with lung cancer. The PCA and statistical analysis rejected the likelihood of population stratification, and further statistical analysis supported the existence of associations between mtDNA haplogroups F* and G* and the Chinese lung cancer group. Furthermore, a higher frequency of somatic mutations (25.32%) in the mtDNA D-loop was detected among Chinese patients with lung cancer, which indicated that the somatic mutations might play crucial roles in the initiation and promotion of lung cancer. Our results suggest that mitochondrial DNA haplogroups and somatic mutations confer genetic susceptibility to lung cancer in patients from Southwest China, and that the somatic mtDNA mutations in the D-loop can serve as a potential biomarker for clinical utility.

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