



*Methodology*

## Complete sequence analysis of mitochondrial DNA of aplastic anemia patients

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**ABSTRACT.** This study was primarily undertaken to test the hypothesis that mitochondrial DNA (mtDNA) mutations may be associated with aplastic anemia (AA). We analyzed mtDNA sequences from 15 patients with AA. The samples were obtained from bone marrow, and patients' oral epithelial cells were collected for normal tissue comparison. Total DNA was amplified by PCR after extraction, and these segments were then sent for sequencing. The results were compared with those of oral epithelial tissues as well as mtDNA sequences in the revised Cambridge Reference Sequence (rCRS) database. We detected 61 heteroplasmic mutations in 11 genes, including those encoding NADH dehydrogenase (*ND*)1-2 and 4-6, tRNA glutamic acid (*TRNE*), ribosomal RNA (*RNR*) 1 and 2, cytochrome c oxidase (*COX1*), cytochrome b (*CYTb*), and tRNA glycine (*TRNG*); mutation rates were particularly high in *ND2*

(34.4%) and *ND4* (21.3%) in the patients' mtDNA genomes. The products of these genes are involved in oxidation in the respiratory chain, and a large number of homoplasmic mutations were found. Interestingly, these 162 polymorphisms were mostly in the D-loop DNA structure (54.3%), in which numerous mutations associated with leukemia and myelodysplastic syndromes are found. We conclude that functional impairment of the mitochondrial respiratory chain induced by mutation may be an important reason for hematopoietic failure in AA patients.

**Key words:** Aplastic anemia; Mitochondrial DNA; Maternal inheritance; Mutation

## INTRODUCTION

Aplastic anemia (AA) is a bone marrow failure syndrome characterized by peripheral pancytopenia and marrow hypoplasia. The damage to bone marrow may be triggered by environmental exposure, such as exposure to chemicals and drugs, or viral infections, and possibly, endogenous antigens generated by genetically altered bone marrow cells.

While most of a cell's genetic material is contained within the nucleus, mitochondria have their own circular DNA. They also have their own machinery for protein synthesis and reproduce by the process of fission, as in bacteria. Human mitochondrial DNA (mtDNA) is a 16-kb circle that contains genes encoding 13 electron transport chain proteins, 22 tRNAs, and 2 rRNAs (Shadel and Clayton, 1997; DiMauro and Schon, 2003). Mitochondria are considered to be the "power houses of the cell" because they produce adenosine triphosphate (ATP) by systematically extracting energy from nutrient molecules (substrates) (Chinnery and Schon, 2003). mtDNA is replicated with a high mutation rate because it has no protective histones and also lacks an effective DNA repair system. Moreover, mtDNA is located near the inner mitochondrial membrane, where it is far more exposed to oxygen-free radicals generated by the respiratory chain than is nuclear DNA (Richter et al., 1988; Penta et al., 2001). Although the limited repair capacity hypothesis has been validated experimentally in some experimental systems, recent data have shown that base excision repair mechanisms do occur in mammalian mtDNA (Bohr et al., 2002; Chen et al., 2002).

Several laboratories have reported unexpectedly large numbers of somatic mutations in leukemia and myelodysplastic syndromes (Grist et al., 2004; Linnartz et al., 2004; Wulfert et al., 2008). Acquired deletions of mtDNA in the hematopoietic compartment have also been found to occur in association with severe pancytopenia and reticulocytopenia (Hatfill et al., 1993). We hypothesized that AA may be associated with mtDNA aberrations. In the present study, we analyzed the entire mtDNA nucleotide sequences from 15 patients with AA and found that there were high mutational rates in the genes encoding NADH dehydrogenase (*ND1-2* and *4-6*, cytochrome B (*CYTB*) and related genes [tRNA glutamic acid (*TRNE*), ribosomal RNA (*RNR*) 1 and 2, cytochrome c oxidase (*COXI*), tRNA glycine (*TRNG*)], which are closely involved in oxidation in the respiratory chain. We were led to conclude that functional impairment of the mitochondrial respiration chain induced by gene mutation may be an important reason behind hematopoietic failure in AA.

## PATIENTS AND METHODS

### Patients

Between September 2010 and February 2011, 15 patients, 7 males and 8 females (median age of 32.2 years; range 11-64), were included in this study. Eligible patients had histologically confirmed diagnosis of AA (Table 1 and Figure 1). These patients had no family history of hematologic disease, and most of them presented fatigue and petechiae. Bone marrow cellularity was low or extremely low in all patients. The karyotype was normal in all patients except patient 2, who was 45,XX,-7. This study was approved by the Institutional Review Board of the Affiliated Hospital of Shandong University of Traditional Chinese Medicine, and written informed consent was obtained from all participants in accordance with the Declaration of Helsinki.

### Genomic DNA extraction

Bone marrow cells were collected from patients, and oral epithelial cells were collected for normal tissue comparison. Total DNA from bone marrow and oral epithelium was extracted using an EasyPure Genomic DNA Extraction kit (Beijing Tiangen Biotech Co., China). Extracted DNA was resuspended in Tris-EDTA buffer (10 mM Tris-HCl, 1 mM EDTA, pH 7.5) and stored at 4°C prior to use.

### mtDNA sequencing and data analysis

For the direct sequencing of the entire mtDNA genome, we used 8 primer pairs based on a modification of a published protocol to obtain 8 partially overlapping segments. The amplified mtDNA PCR products were directly sequenced using the BigDye Terminator v3.1 ready reaction kit (Applied Biosystems) and the ABI Prism 3100 Genetic Analyzer (Applied Biosystems). Sequencing primers used for each mtDNA product are shown in Table 2, and the electropherogram of 8 fragments is shown in Figure 1.

Experimentally obtained mtDNA sequences were compared with the revised Cambridge Reference Sequence (rCRS) (<http://www.mitomap.org/mitomap/mitoseq.html>; Andrews et al., 1999) by using the Blast2 program ([http://www.ncbi.nlm.nih.gov/blast/Blast.cgi?PAGE\\_TYPE=BlastSearch&PROG\\_DEF=blastn&BLAST\\_PROG\\_DEF=megaBlast&SHOW\\_DEFAULTS=on&BLAST\\_SPEC=blast2seq&LINK\\_LOC=align2seq](http://www.ncbi.nlm.nih.gov/blast/Blast.cgi?PAGE_TYPE=BlastSearch&PROG_DEF=blastn&BLAST_PROG_DEF=megaBlast&SHOW_DEFAULTS=on&BLAST_SPEC=blast2seq&LINK_LOC=align2seq)) and the database search tool MitoAnalyzer (<http://www.cstl.nist.gov/biotech/strbase/mitoanalyzer.html>, 2001; Lee and Levin, 2002) to determine which polymorphisms and mutations differed from the rCRS and whether the differences caused amino acid changes in the resultant polypeptides. Nucleotide changes that were present in both the bone marrow and oral epithelial cells in the same patient were counted as polymorphisms or homoplasmic mutations, and those that had not already been included in the databases (MITOMAP, mtDB, or GenBank) were considered new polymorphisms. Changes that were only present in bone marrow were counted as mutations or heteroplasmic mutations.

## RESULTS

### Heteroplasmic mutations of mtDNA

We detected mutations in all 15 specimens, and overall, we detected 61 mutations in

**Table 1.** mtDNA mutation heteroplasmy detected in patients with aplastic anemia.

Patient	Gender/age (years)	Bone marrow cellularity	Hematopoietic tissue area	Karyotype	Nucleotide position	Nucleotide change	Gene change	Amino acid change	Silent mutation	Frameshift mutation
1	F/29	Low	20	46,XX	3834	G→A	ND1	Ala→Thr		
2	F/64	Extremely low	20	45,XX,-7	14178	T→C	ND6	Tyr→His		
					1356	Del A	RNR1	SC→SC	+	+
					2706	A→G	RNR2	SC→Trp		
					4544	Del C	ND2	Leu→SC		+
					4545-4546	Ins A	ND2	Leu→SC		+
					4546	G→A	ND2	Leu→SC		
					12124-12125	Ins C	ND4	Gly→Arg		+
3	M/38	Extremely low	10	46,XY	13858	A→T	ND5	Thr→Ser		
					14099-14100	Ins T	ND5	Pro→Ser		+
					4546	G→A	ND2	Leu→Leu	+	
					13928	G→C	ND5	Ser→Thr		
					14766	C→T	CYTB	Leu→Leu	+	
4	F/24	Extremely low	20	46,XX	4164	A→G	ND1	Thr→Ala		
					10055	A→G	TRNG	Glu→Gly		
5	M/50	Extremely low	10	46,XY	4541	G→C	ND2	Ala→Pro		
					4543	A→T	ND2	Ala→Ala	+	
					4544	C→A	ND2	Leu→Met		
6	F/11	Low	20	46,XX	4248	T→C	ND1	Ser→Pro		
					4544	Del C	ND2	Leu→SC		+
					4545-4546	Ins A	ND2	Leu→SC		+
					4546	G→A	ND2	Leu→SC		+
7	M/29	Extremely low	10	46,XY	15676-15677	Ins C	CYTB	Lys→Gln		+
8	F/52	Extremely low	10	46,XX	648	A→C	RNR1	Gln→His		
					4539	T→C	ND2	Leu→Pro		
					4541	G→C	ND2	Ala→Pro		
					12035-12036	Ins A	ND4	Met→Asn		+
					12037	A→C	ND4	SC→Ser		
					12040	Del A	ND4	Asn→Thr		
					14526	A→C	ND6	Met→Ile		
					14572	C→T	ND6	Asn→Tyr		
9	M/45	Extremely low	10	46,XY	15710-15711	Ins C	CYTB	Aln→Pro		+
					4025	C→T	ND1	Thr→Lle		
					4769	A→G	ND2	Ser→Gly		
					13860	C→T	ND5	Thr→Thr	+	
10	M/37	Low	20	46,XY	14693	A→G	TRNE	Gln→Gln	+	
					6392	T→C	COX1	Met→Lle		
					10860	T→G	ND4	Ile→Ser		
11	M/58	Extremely low	20	46,XY	13859	C→T	ND5	Thr→Lle		
					10861	T→G	ND4	Ile→Met		
					14370	Del A	ND6	Ile→Ser		+
12	F/39	Extremely low	20	46,XX	4543	A→T	ND2	Ala→Ala	+	
					4544	C→T	ND2	Leu→Met		
					4546	G→A	ND2	Leu→Leu	+	
					14416	A→G	ND6	Gln→Arg		
13	M/29	Extremely low	10	46,XY	14373-14374	Ins C	ND6	Leu→Leu	+	+
					14766	C→T	CYTB	Leu→Leu	+	
14	F/56	Extremely low	10	46,XX	4724	C→A	ND2	Thr→Thr	+	
					12020	C→G	ND4	Pro→Pro	+	
					12027	T→C	ND4	Leu→Leu	+	
					12028	T→C	ND4	Leu→Ser		
					12029	A→G	ND4	Leu→Leu	+	
					12030	A→C	ND4	Thr→Pro		
					12705	C→T	ND5	Lle→Lle	+	
					4048	G→A	ND1	Trp→SC		
15	F/19	Extremely low	10	46,XX	4532	C→T	ND2	Ala→Val		
					4539	T→C	ND2	Leu→Pro		
					4546	C→A	ND2	Leu→Leu	+	
					4883	C→T	ND2	His→Tyr		
					11719	G→A	ND4	Ala→Thr		
					12038	A→T	ND4	SC→Tyr		

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**Table 1.** Continued.

F = female; M = male; A = adenine; G = guanine; C = cytosine; T = thymine; Ins = insertion; Del = deletion; *ND 1-2* and *4-6* = NADH dehydrogenase; *RNR 1* and *2* = ribosomal RNA; *CYTB* = cytochrome b; *TRNG* = tRNA glycine; *TRNE* = tRNA glutamic acid; *COXI* = cytochrome c oxidase; Ala = alanine; Arg = arginine; Asn = asparagine; Gln = glutamine; Glu = glutamic acid; Gly = glycine; His = histidine; Leu = leucine; Ile = isoleucine; Lys = lysine; Met = methionine; Pro = proline; Ser = serine; Thr = threonine; Trp = tryptophan; Tyr = tyrosine; Val = valine; SC = stop codon.

**Table 2.** PCR amplification of mtDNA genes.

Fragment number	Sequencing primers (5'→3')	Amplicon mtDNA region (bp)	Size (bp)
1	ACCAAACCCCAAAGACACC (O) GACTCTAGAATAGGATTGCG (I)	569-2941	2412
2	GTCCTAACTACCAAACCTG (O) GTGTTAGTCATGTTAGCTTG (I)	2797-5193	2436
3	AGCAGTTCACCGTACAACC (O) TTTGAAAAAGTCATGGAGGC (I)	5061-7497	2476
4	GATTTGAGAAGCCTTCGCTT (O) GCCAATAATGACGTGAAGTC (I)	7336-9819	2524
5	TCCCACTCCTAAACACATC (O) AAACCCGGTAATGATGTCG (I)	9611-12111	2539
6	GCCCACGGGCTTACAT (O) GATTGTTAGCGGTGTGGTC (I)	11727-14559	2851
7	TCTTCCCACTCATCCTAAC (O) TCTCCGGTTACAAGACTG (I)	14130-15912	1782
8	TTGCCTACACAATTCTCC (O) TTTATGGGGTGATGTGAGC (I)	15591-16569, 1-626	1643

O = outer primer; I = inner primer.

11 genes, including *ND1* (5/8.20%), *ND2* (21/34.43%), *ND4* (13/21.31%), *ND5* (6/9.84%), *ND6* (6/9.84%), *TRNE* (1/1.64%), *RNR1* (2/3.28%), *RNR2* (1/1.64%), *COXI* (1/1.64%), *CYTB* (4/6.56%), and *TRNG* (1/1.64%). We also found that there were 16 silent mutations (16/26.23%) and 13 frameshift mutations (13/21.31%) among all mutations. This information is given in Table 1, Table 3, and Figure 1.

**Table 3.** Distribution and number of mtDNA mutations in bone marrow specimens from patients with aplastic anemia.

mtDNA location	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	Percent
<i>RNR1</i>	0	1	0	0	0	0	0	1	0	0	0	0	0	0	0	3.28
<i>RNR2</i>	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0	1.64
<i>ND1</i>	1	0	0	1	0	1	0	0	1	0	0	0	0	0	1	8.20
<i>ND2</i>	0	3	1	1	3	3	0	2	1	0	0	3	0	1	3	34.43
<i>COXI</i>	0	0	0	0	0	0	0	0	0	1	0	0	0	0	0	1.64
<i>TRNG</i>	0	0	0	1	0	0	0	0	0	0	0	0	0	0	0	1.64
<i>ND4</i>	1	1	0	0	0	0	1	3	0	1	1	0	0	5	0	21.31
<i>ND5</i>	0	2	1	0	0	0	0	0	0	1	1	0	0	1	0	9.84
<i>ND6</i>	1	0	0	0	0	0	0	2	0	0	1	1	1	0	0	9.84
<i>TRNE</i>	0	0	0	0	0	0	0	0	1	0	0	0	0	0	0	1.64
<i>CYTB</i>	0	0	1	0	0	0	1	1	0	0	0	0	1	0	0	6.56

For abbreviations, see legend to Table 1.

Mitochondrial sequencing indicated that these mutations were found in the coding region closely related to the mitochondrial oxidative respiratory chain, covering *ND1-2* and *4-6*, *CYTB*, and other related genes, and that mutation levels were particularly high in *ND2* and



**Table 4.** mtDNA polymorphisms detected in patients with aplastic anemia.

mtDNA location	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	Percent
D-loop	6	8	9	9	13	13	4	8	3	2	1	0	5	5	2	54.32
<i>RNR1</i>	1	3	2	1	4	0	2	0	0	4	0	0	1	0	0	11.11
<i>RNR2</i>	0	1	0	0	3	0	1	0	0	2	0	0	0	1	0	4.94
<i>ND1</i>	0	1	0	0	0	0	0	0	0	1	0	0	0	0	0	1.23
<i>ND2</i>	0	1	0	0	0	3	1	0	0	0	0	0	0	2	0	4.32
<i>COX1</i>	0	1	1	2	0	0	0	0	0	0	2	0	0	0	2	4.94
<i>COX2</i>	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0.62
<i>COX3</i>	0	0	0	0	0	0	0	0	0	0	1	0	0	0	0	0.62
<i>ATP6</i>	0	0	0	0	0	1	0	0	1	0	0	0	0	0	0	1.23
<i>ND3</i>	0	0	0	0	0	1	0	0	1	0	0	0	0	0	0	1.23
<i>ND4L</i>	0	0	0	0	0	0	0	0	2	0	0	0	0	0	0	1.23
<i>ND4</i>	0	2	0	0	0	2	0	0	0	0	0	0	0	0	0	2.47
<i>ND5</i>	1	4	0	0	0	0	0	0	0	0	1	2	0	0	0	4.94
<i>ND6</i>	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0.62
<i>CYTB</i>	1	0	0	2	0	1	0	1	1	0	1	2	0	0	1	6.17

ATP6 = adenosine triphosphate 6; ND4L = NADH dehydrogenase, subunit 4L. For other abbreviations, see legend to Table 1.

## DISCUSSION

Acquired mtDNA deletions in the hematopoietic compartment have also been observed to occur in association with severe pancytopenia and reticulocytopenia (Clayton, 1984). In this study, we detected 61 mutations in 11 genes, including *ND1* (5/8.20%), *ND2* (21/34.43%), *ND4* (13/21.31%), *ND5* (6/9.84%), *ND6* (6/9.84%), *TRNE* (1/1.64%), *RNR1* (2/3.28%), *RNR2* (1/1.64%), *COX1* (1/1.64%), *CYTB* (4/6.56%), and *TRNG* (1/1.64%). Also, the mutation rate was particularly high in *ND2* (15/33.33%) and *ND4* (10/22.22%) in patients' mtDNA genome, excepting these silent mutations. These genes are closely linked to oxidation in the respiratory chain. Interestingly, there were no D-loop mutations in these heteroplasmic mutations.

*ND1-2* and *4-6*, and *CYTB* are important components of NADH-ubiquinone oxidoreductase (Complex I) and ubiquinone cytochrome c oxidoreductase (Complex III). Mitochondrial injury is reflected by mtDNA damage as well as by a decline in the levels of mtRNA transcripts, protein synthesis, and mitochondrial function. A decrease in these complex activities can result in a decrease in cellular energy, disruption of cell signaling, and interference with cellular differentiation and apoptosis. Furthermore, deficient mitochondrial ATP production due to mtDNA mutation may promote chromosomal instability (Gattermann, 2004). Cells with an inadequate ATP supply may have difficulty in correctly segregating their chromosomes during mitosis. These factors may result in a decrease in energy metabolism, which will affect self-renewal and differentiation of the hematopoietic stem cell.

Interestingly, the genes affected were involved in oxidative phosphorylation. In contrast to the mutations involved in hematologic malignancies such as acute myeloblastic leukemia and myelodysplastic syndromes, these mutations were mainly in the D-loop. In these studies, a great deal of nucleotide alterations were detected in the D-loop region in acute myeloblastic leukemia and myelodysplastic syndrome patients, suggesting that the D-loop is a mutational hotspot in human cancer. It was found that leukemia cells utilize glycolysis more vigorously than oxidative phosphorylation in mitochondria (Gattermann, 2000; Shin et al., 2003; Grist et al., 2004; Sukanuma et al., 2010). This may be caused by differences between benign and malignant hematologic disorders.

In summary, the mutations occurred in the region that influences the replication and transcription of mtDNA and thus are likely to have impact on function, increasing the permeability of the mitochondrial inner membrane and destroying the membrane potential, which would then trigger caspase activity and eventually cause cell apoptosis. Therefore, the functional defect caused by mtDNA mutations may be the primary cause of bone marrow failure in AA.

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## Conflict of interests

The authors have declared that no conflict of interest exists.

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